# AISHELL-2: Transforming Mandarin ASR Research Into Industrial Scale

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# Abstract

AISHELL-1 is by far the largest open-source speech corpus available for Mandarin speech recognition research. It was released with a baseline system containing solid training and testing pipelines for Mandarin ASR. In AISHELL-2, 1000 hours of clean read-speech data from iOS is published, which is free for academic usage. On top of AISHELL-2 corpus, an improved recipe is developed and released, containing key components for industrial applications, such as Chinese word segmentation, flexible vocabulary expension and phone set transformation etc. Pipelines support various state-of-the-art techniques, such as time-delayed neural networks and Lattic-Free MMI objective funciton. In addition, we also release dev and test data from other channels(Android and Mic). For research community, we hope that AISHELL-2 corpus can be a solid resource for topics like transfer learning and robust ASR. For industry, we hope AISHELL-2 recipe can be a helpful reference for building meaningful industrial systems and products.

Index Terms: Speech recognition, Mandarin ASR, Industrial Speech Recognition

# 1. Introduction

Automatic Speech Recognition (ASR) is a major application domain in the bloom of Artificial Intelligence (AI). Huge effort has been made from both research community and industry to improve ASR system performance. Among all solutions proposed, deep learning approach has been dominating for the last half decade. Given enough data, neural network (NN) models generally perform better in terms of recognition accuracy, and turn out to be more robust. From industrial perspective, accessing and collecting large amount of speech data has become easier than ever before, with emerging market of smart phones and various other smart devices. However, on the other hand, research community still has limited-access to real-world application data. As a result, improvements in research community do not always scale well to industrial scenarios. In computer vision, there are many high quality free data sets which transform research efforts into industrial applications, such as ImageNet [1] and COCO [2]. In Mandarin ASR, although there are corpus like thchs30 [3] and hkust [4], a large-scale high-quality free corpus is still needed.

In AISHELL-1 [5], we released 170 hours of Mandarin speech with high quality human transcriptions. Various training and evaluation recipes based on such corpus have been developed in Kaldi [6], which is a robust and widely-acknowledged framework for speech research. To the best knowledge of the

authors, it is the first fully open-sourced system for Mandarin ASR with high quality Mandarin speech data(e.g. [7, 8, 9]).

Furthering the success from AISHELL-1 with efforts, in this paper, we introduce AISHELL-2, an open-sourced, selfcontained baseline for industrial-scale Mandarin ASR research. On one hand, 1000 hours of iPhone-recorded speech data is released. On the other hand, baseline recipes(containing musthave components such as Chinese word segmentation, customizable Chinese lexicon etc) are published into Kaldi, following what have been done with AISHELL-1 formally. Moreover, besides training data(iOS), we release development and test data from 3 acoustic channels(iOS, Android and Mic). We hope these resources would be helpful to transform Mandarin ASR research into industrial scale.

The rest of this paper is organized as follows: The details of AISHELL-2 corpus data is introduced in Section 2. Section 3 describes the Mandarin ASR pipeline for producing baseline system and Section 4 presents system performance on test sets from different acoustic channels.

# 2. AISHELL-2 corpus

AISHELL-2 corpus contains 1000 hours of clean readingspeech data, which will be released to academic research community for free. Raw data was recorded via three parallel acoustic channels - a high fidelity microphone (Mic), an Android smartphone (Android) and an iPhone (iOS). The relative position between speaker and devices are shown in Figure 1. Data from iPhone, i.e. the iOS channel is open-sourced. Speaker, environment and content coverage are explained as below:

- Speaker information. There are 1991 speakers participated in the recording, including 845 male and 1146 female. Age of speaker ranges from 11 to over 40. Ideally the speakers shall speak everything to be recorded in Mandarin, while there are some slight accent variations. Generally speaking of the accents, there were 1293 speakers using Northern ones, 678 speakers using Southern ones and 20 speakers use other accents during recording.
- **Recording environment.** 1347 speakers are recorded in a studio, while the rest are in a living room with natural reverberation.
- Content of speech. The content of the recording covers 8 major topics: voice commands such as IoT device control and digital sequential input, places of interest, entertainment, finance, technology, sports, English spellings and free speaking without specific topic. The total number of prompts is around half a million.

Aside from AISHELL-2 corpus introduced above, which is supposed to be used for training, we also provide development and test sets. Development set contains 2500 utterances from 5

<sup>\*</sup> AISHELL foundation is a non-profit online organization, dedicated to pushing forward speech industry via open-sourcing database to research institutes and contributing codes to open-source speech community.

speakers and test set contains 5000 utterances from 10 speakers. Each speaker contributed approximately half an hour of speech, covering 500 prompts. First 7 prompts of all speakers are extracted from high frequency online queries. Speaker-gender is balanced as well.



Figure 1: Recording setup

# 3. AISHELL-2 recipe

As briefly mentioned in Section 1, based on AISHELL-2 corpus, several recipes are released to Kaldi repository as a complete collection, including data and lexicon preparation, language model training, Gaussian mixture model (GMM) and Neural Network training, as well as test set evaluation procedure.

#### 3.1. Lexicon and word segmentation

Unlike English ASR system, Mandarin ASR often requires sophisticated word segmentation. In AISHELL-1, word segmentation was implemented via forward maximum matching algorithm, based on a variation of open-source mandarin dictionary CC-CEDIT<sup>1</sup>. In AISHELL-2, an open-source Chinese dictionary called DaCiDian is released<sup>2</sup>. In most common Chinese dictionaries, words are directly mapped to phonemes. While in DaCiDian, this mapping is decomposed into 2 independent layers. An exemplar DaCiDian structure is shown in Figure 2 and Figure 3. The first layer maps word to PinYin syllables [10]. Anyone who is familiar with PinYin (basically every Mandarin speaker) can enrich DaCiDian's vocabulary by adding new words into this layer. The second layer is a mapping from Pinyin syllable to phoneme. ASR system developers can easily adapt DaCiDian to their own phone set by redefining this layer of mapping.

In terms of word segmentation, we choosed a popular and easy-to-use open-source toolkit called Jieba [11], it implemented a trie-tree based algorithm and supports vocabulary customization. Based on DaCiDian and Jieba, we provide a script to segment AISHELL-2 transcription and language model text.

#### 3.2. Acoustic model

Acoustic training contains two stages: GMM-HMM state model training based on maximum likelihood and later the training of a hybrid DNN-HMM state estimator. Both can be

<sup>2</sup>https://github.com/aishell-foundation/DaCiDian

```
...
裤子 KU_4 ZI_0
好事 HAO_4 SHI_4;HAO_3 SHI_4
教授 JIAO_1 SHOU_4;JIAO_4 SHOU_4
...
语音识别 YU_3 YIN_1 SHI_2 BIE_2
傅里叶变换 FU_4 LI_3 YE_4 BIAN_4 HUAN_4
```

Figure	2:	Layer	1 of	Da	CiDian
-		~	./		

Α	\$0 a
AI	\$0 ai
AN	\$0 an
ANG	\$0 ang
AO	\$0 ao
BA	b a
BAI	b ai
BAN	b an
BANG	b ang
BAO	b ao
ZONG	z ong
ZOU	z ou
ZU	z u
ZUAN	z uan
ZUI	z ui
ZUN	z un
ZUO	z uo

Figure 3: Layer 2 of DaCiDian

implemented by calling standard methods and designing corresponding recipes in Kaldi toolkit.

The GMM models were firstly trained using 13 dimensional MFCC plus pitches, which made the input dimension 16. A monophone model was trained to set a starting point for the triphone models. A small triphone model and a larger triphone model were then consecutively trained using delta features. After that, a more sophisticated feature transform method was applied to replace the delta features. Linear discriminant analysis (LDA) was applied on stack of frames to reduce the dimension and MLLT-based global transform is estimated iteratively. This follows a standard setup pipeline in the majority of available Kaldi recipes. The resulting number of physical GMM states from the four steps were 605, 3216, 5720 and 8080 respectively.

GMM training of the AISHELL-2 stopped at the speaker independent stage, without speaker dependent transform involved, such as fMLLR. For industrial-scale corpus, it is not worth spending too much time and computation power at GMM-HMM training stage, since the final system performance primarily depends on later neural network models. Therefore, we adopted speaker independent GMM training and pushed the speaker dependent steps to later DNN training phase, as described below.

Based on the tied-triphone state alignments from GMM, a time-delayed neural network (TDNN, [12]) is then configured and trained. It has 8 layers in total, with 1280 hidden units

<sup>&</sup>lt;sup>1</sup>https://cc-cedict.org/wiki

Table 1: Baseline system results and training time

CER	dev_android	dev_ios	dev_mic	test_android	test_ios	test_mic	Training time in hours
Mono	47.08	43.37	47.33	45.40	44.81	44.28	0.5
tril	26.61	22.94	26.55	26.08	24.79	25.36	1
tri2	24.59	21.47	24.59	23.82	22.69	23.37	2
tri3(LDA+MLLT)	22.24	18.86	22.47	21.00	19.77	21.10	2.5
Chain-TDNN	10.43	9.10	11.84	9.59	8.81	10.87	15

in each layer. It is, as described in [12], not fully connected the input of each hidden layer is a frame-wise spliced output of its preceding layer. The input feature was high-resolution MFCC with cepstral normalization plus pitches, which made its dimension 43. Note that for each frame-wise input a 100dimensional i-vector [13] was also attached, whose extractor was trained based on the corpus itself. The corresponding diagonal universal background model (UBM) was trained using a quarter of training features. This indicates that different from GMM-level training, we encoded speaker information here to produce a stronger baseline for research community. The network was trained using lattice-free maximum mutual information (LFMMI, [14]) as the objective function. More configurations about NN training itself such as lattice generation and tree topology can be found at [14] and AISHELL-2 exemplar scripts in Kaldi repository<sup>3</sup>.

### 3.3. Language model

A trigram language model was trained on 5.7 million-word speech transcripts from AISHELL-2. Out-of-vocabulary (OOV) words were mapped as <UNK>. The 3-order ARPA language model is trained using Kneser-Ney smoothing, with 516552 unigrams, 1498603 bigrams and 932475 trigrams, respectively.

## 4. Experiment and evaluations

Presented baseline system was trained on a standalone server, with 36 cores of Intel Xeon (2.3GHz) for all cpu-based steps and 4 Tesla K80 processors for DNN model training. Character Error Rate (CER) was used as the evaluation metric.

Results along with training time of each stage, are presented in Table 1. Note that during training, we only used opensourced iOS data, while evaluated these models on dev and test sets for all 3 channels (Android, Mic, and iOS). System performance on iOS outperformed Android and Mic, which is as expected due to better acoustic channel condition matching.

## 5. Conclusions

In this paper, we generally introduce AISHELL-2, a 1000-hour Mandarin ASR corpus, freely available to research community. In the meantime, we present a self-contained recipe in Kaldi toolkit as a research baseline. We hope this open-source project provides essential ingredients for researchers to explore more scalable and practical solutions regarding to industrial scenarios for Mandarin speech recognition.

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<sup>&</sup>lt;sup>3</sup>https://github.com/kaldi-asr/kaldi/egs/aishell2